

Close Thu: 14.4, 14.7(1)  
Close Tue: 14.7(2), 15.1  
Close Next Thu: 15.2, 15.3 (integrating!)  
Office Hours Today: 1:30-3:00pm (Smith 309)  
Math Tutors: 9:30am–9:30pm (Com B-014)  
CLUE Tutors: 7:00pm – midnight (Mary Gates)

*Entry Task:* Find the tangent plane, the linear approximation and total differential for

$$f(x,y) = x^2 + 3y^2x - y^3$$

at  $(x,y) = (2,1)$ .

## 14.4/14.7 Tangent Planes & Max/Min

### *Tangent Plane Summary & Applications*

Tangent Plane:

$$z - z_0 = f_x(x_0, y_0)(x - x_0) + f_y(x_0, y_0)(y - y_0)$$

Linear Approximation:

$$L(x, y) = z_0 + f_x(x_0, y_0)(x - x_0) + f_y(x_0, y_0)(y - y_0)$$

Total Differential:

$$dz = f_x(x_0, y_0)dx + f_y(x_0, y_0)dy$$

Concepts:

If  $(x, y) \approx (x_0, y_0)$ , then

$f(x, y) \approx L(x, y)$  and  $\Delta z \approx dz$ .

## Applications

### 1. *Linear Approximation:*

“Near” the points  $(x_0, y_0)$  the tangent plane and surface  $z$ -values are close.

### 2. *Differentials:* Same idea in terms of differences.

**Actual** changes on surface are:

$$\Delta x = x - x_0, \Delta y = y - y_0, \Delta z = f(x, y) - f(x_0, y_0)$$

**Approx** changes on tangent plane:

$$dx = x - x_0, dy = y - y_0, dz = z - z_0$$

### *Example:*

Use the linear approximation of

$$f(x, y) = x^2 + 3y^2x - y^3$$

at  $(x, y) = (2, 1)$  to approximate the value of  $f(2.1, 0.9)$ .

## 14.7 Local Max/Min

Consider a surface  $z = f(x,y)$ .

*Some Terminology:*

A **local maximum** occurs at  $(a,b)$  if  $f(a,b)$  is larger than *all* values “near” it (top of a hill).

A **local minimum** occurs at  $(a,b)$  if  $f(a,b)$  is smaller than *all* values “near” it (bottom of a valley).

A **critical point** is a point  $(a,b)$  where

**BOTH**

$$f_x(a, b) = 0 \text{ and } f_y(a, b) = 0$$

or where either partial doesn't exist.

*Note:* If a local max/min occurs at a point, then that point must be a critical point!

*Example:*

Find the critical points of

$$f(x, y) = 3xy - \frac{1}{2}y^2 + 2x^3 + \frac{9}{2}x^2$$

## Second Derivative Test

Let  $(a,b)$  be a critical point.

Find all **second** partials at  $(a,b)$

$(f_{xx}(a, b), f_{yy}(a, b), f_{xy}(a, b))$

and compute

$$D = f_{xx}(a, b)f_{yy}(a, b) - [f_{xy}(a, b)]^2$$

1. If  $D > 0$ , then the concavity is the same in all directions. So
  - (a) If  $f_{xx} > 0$ , then it is concave up in all directions. **Local minimum.**
  - (b) If  $f_{xx} < 0$ , then it is concave down in all directions. **Local maximum.**
2. If  $D < 0$ , then the concavity changes in some direction.  
We say  $(a,b)$  is a **saddle point**.
3. If  $D = 0$ , the test is **inconclusive** (need a contour map)

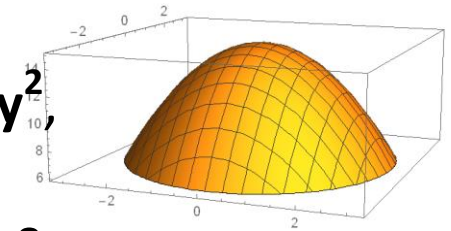
Quick Examples:

1.  $f(x,y) = 15 - x^2 - y^2$ ,

Critical pt:  $(0,0)$ .

$$f_{xx} = -2, f_{yy} = -2, f_{xy} = 0,$$

$$D = (-2)(-2) - (0)^2 = 4$$

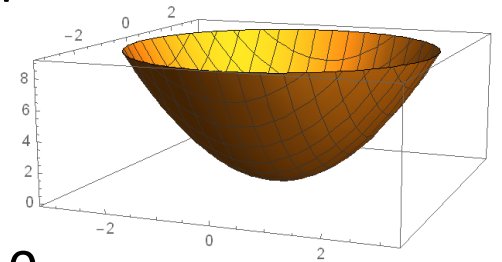


2.  $f(x,y) = x^2 + y^2$ ,

Critical pt:  $(0,0)$ .

$$f_{xx} = 2, f_{yy} = 2, f_{xy} = 0,$$

$$D = (2)(2) - (0)^2 = 4$$

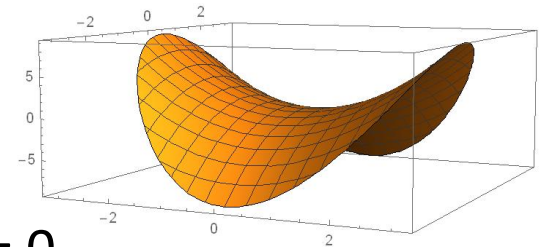


3.  $f(x,y) = x^2 - y^2$

Critical pt:  $(0,0)$ .

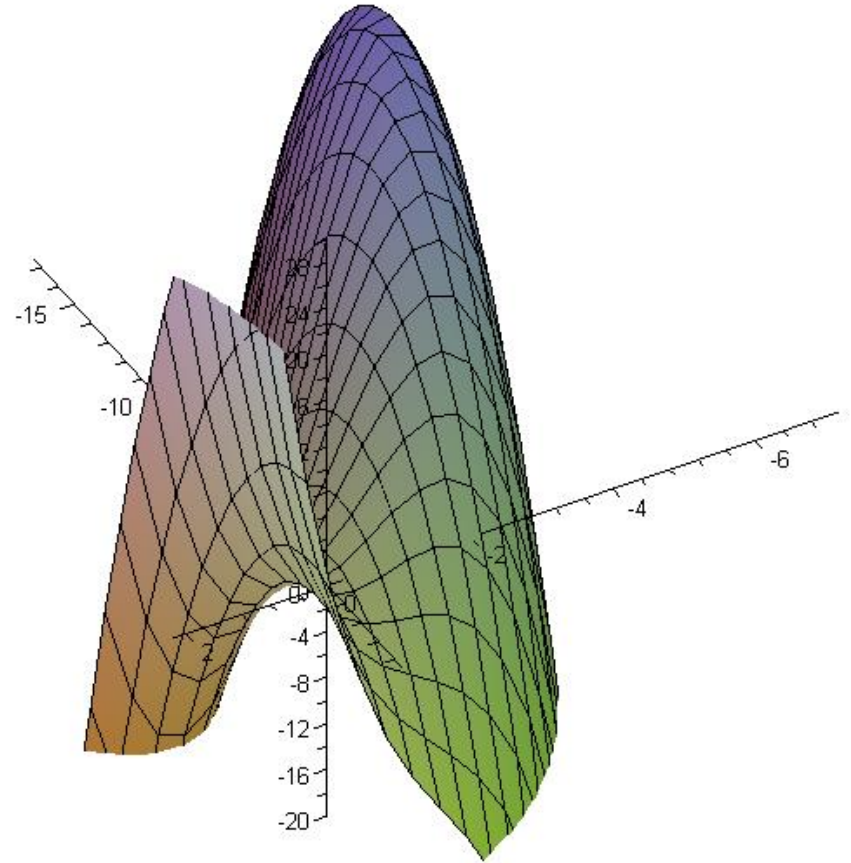
$$f_{xx} = 2, f_{yy} = -2, f_{xy} = 0,$$

$$D = (2)(-2) - (0)^2 = -4$$



*Example:* Find and classify all critical points for

$$f(x, y) = 3xy - \frac{1}{2}y^2 + 2x^3 + \frac{9}{2}x^2$$



*Examples from old exams:*

1. Find and classify all critical points for

$$f(x, y) = x^2 + 4y - x^2y + 1$$

2. Find and classify all critical points for

$$f(x, y) = \frac{9}{x} + 3xy - y^2$$

3. Find and classify all critical points for

$$f(x, y) = x^2y - 9y - xy^2 + y^3$$

## Global Max/Min

Consider a surface  $f(x,y)$  over a particular region  $R$  on the  $xy$ -plane.

An **absolute/global maximum** over  $R$  is the largest  $z$ -value over  $R$ .

An **absolute/global minimum** over  $R$  is the smallest  $z$ -value over  $R$ .

Key fact (Extreme value theorem)

The absolute max/min must occur at either

1. A critical point, or
2. A boundary point.

*Example:* Let  $R$  be the triangular region in the  $xy$ -plane with corners at  $(0,-1)$ ,  $(0,1)$ , and  $(2,-1)$ . Over  $R$ , find the absolute max and min of

$$f(x, y) = \frac{1}{4}x + \frac{1}{2}y^2 - xy + 1$$



*Step 1:* Critical points inside region.

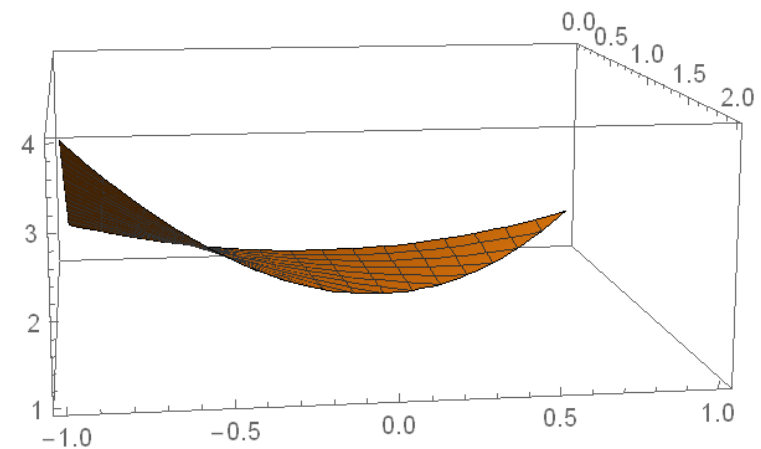
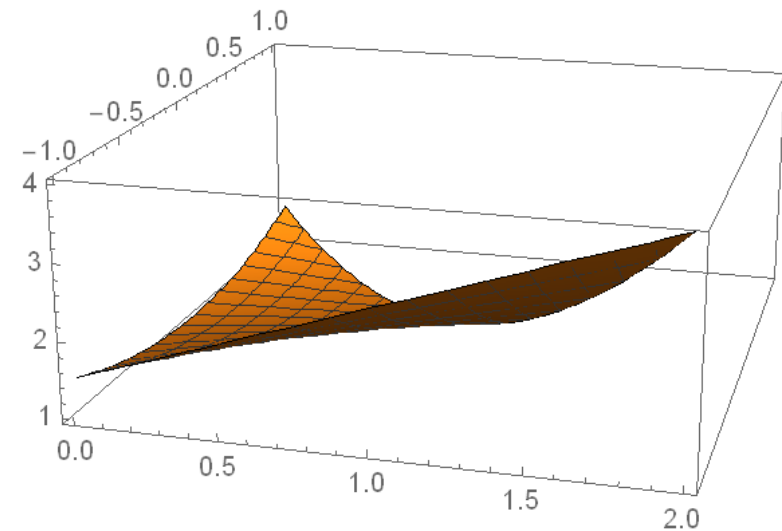
*Step 2:* Boundaries (the triangle has 3).

- i) For each boundary, give equation in terms of  $x$  and  $y$ .
- ii) Find intersection with surface (i.e. substitute).
- iii) Find critical numbers and endpoints for this one variable function. Label “corners” (these are the one variable endpoints)

*Step 3:* Evaluate the function at all points you found in steps 1 and 2.

Biggest output = global max

Smallest output = global min



## Homework hints:

In applied optimization problems,

- (a) Identify what you are optimizing (objective)
- (b) Label Everything.
- (c) Identify any given facts (constraints)
- (d) Use the constraints and labels to give a 2 variable function for the objective.

## HW Examples:

1. Find the points on the cone  $z^2 = x^2 + y^2$  that are closest to  $(4,2,0)$ .

*Objective:* Minimize **distance** from  $(x,y,z)$  points on the cone to the point  $(4,2,0)$  given that  $z^2 = x^2 + y^2$ .

2. Find the dimensions of the box with volume  $1000 \text{ cm}^3$  that has minimum surface area.

*Objective:* Minimize **surface area** given that volume is 1000.